

Please Direct All Correspondence to Customer Number **20995**

AMENDMENT / RESPONSE TRANSMITTAL

Applicant : James E. Malackowski, et al.
 App. No : 09/814,547
 Filed : March 22, 2001
 For : SYSTEM FOR AND METHOD OF
 RISK MINIMIZATION AND
 ENHANCED RETURNS IN AN
 INTELLECTUAL CAPITAL BASED
 VENTURE INVESTMENT
 Examiner : D. Kesack
 Art Unit : 3624

**CERTIFICATE OF EFS WEB
TRANSMISSION**

I hereby certify that this correspondence, and any other attachment noted on the automated Acknowledgement Receipt, is being transmitted from within the Pacific Time zone to the Commissioner for Patents via the EFS Web server on:

6/21/2007

(Date)

Thomas Y. Yee, Reg. No. 57,013

Mail Stop Amendment

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in the above-identified application are the following enclosures:

(X) Amendment and Response to Office Action in 11 pages.

The fee has been calculated as shown below:

The present application qualifies for Small Entity Status under 37 CFR 1.27.

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
Excess Claims	8 - 22 = 0	2202 (\$25)	0 x 25 =	\$0
Excess Independent	2 - 3 = 0	2201 (\$100)	0 x 100 =	\$0
Multiple Claim	1.16(j)	2203 (\$180)		\$0
1 Month Extension	1.17(a)(1)	2251 (\$60)		\$0
2 Month Extension	1.17(a)(2)	2252 (\$225)		\$
3 Month Extension	1.17(a)(3)	2253 (\$510)		\$
Information Disclosure Statement Fee	1.17(p)	1806 (\$180)		\$
			TOTAL FEE DUE	\$0

Docket No.: OTOM.014A

June 21, 2007

App. No.: 09/814,547

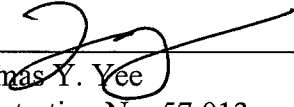
Page 2 of 2

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(X) Calculated Fees will be paid via EFS Web.

Extension of time is requested by payment of any extension fee.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.



Thomas Y. Yee
Registration No. 57,013
Attorney of Record
Customer No. 20,995
(949) 760-0404

PAT-AMENDTRANS

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